Appendix C1:
A summary of our fees and charges for arbitrations and appeals
Appendix C1: Fees and charges for Technical Arbitrations and Appeals

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A summary of our fees and charges for arbitrations and appeals

Fees and charges for Technical Arbitrations and Appeals

Please note that the amount to be paid in each case will be in line with the firm’s registration status.

NB Contains amendments to Appendix C1 approved by the Board on 28th July 2016 effective immediately.

**TECHNICAL ARBITRATIONS**

<table>
<thead>
<tr>
<th>Application fees</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Principal Firms and Related Companies registered for at least 12 months</td>
<td>No fee</td>
</tr>
<tr>
<td>Principal Firms and Related Companies registered for at least 12 months but not registered on the date of the contract</td>
<td>£500.00</td>
</tr>
<tr>
<td>Association Member Firms</td>
<td>£2,500.00</td>
</tr>
<tr>
<td>Principal Firms and Related Companies registered for less than 12 months</td>
<td>£5,000</td>
</tr>
<tr>
<td>Non-registered firms (including those firms whose application for registration has been refused).</td>
<td>£5,000</td>
</tr>
</tbody>
</table>

**Other arbitration fees**

- A deposit of £4,000 shall be payable upon submission of an application for arbitration.
- An hourly rate shall be charged by the arbitrators, up to a maximum of £150.00.
- Fractions of an hour after the first hour shall be charged pro rata.
- A minimum fee of £100.00 shall be payable to each arbitrator.
- An additional fee of £250.00 per arbitration will be payable to the Chairman.
- The only expenses an arbitrator shall be entitled to claim are courier fees, up to a maximum of £50.00 unless substantiated with a receipt.
- When an arbitration case is cancelled the Secretariat shall take a percentage of the stamping charge, based on the claimant’s membership status, as an “administrative fee” which will be taken out of the deposit at the following rates:
  1. After request for arbitration and deposit obtained 25%
  2. During submission stage 50%
  3. After submission stage 75%

**TECHNICAL APPEALS**

<table>
<thead>
<tr>
<th>Application fees</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Principal Firms and Related Companies registered for at least 12 months</td>
<td>No fee</td>
</tr>
<tr>
<td>Principal Firms and Related Companies registered for at least 12 months but not registered on the date of the contract</td>
<td>£500.00</td>
</tr>
<tr>
<td>Association Member Firms</td>
<td>£2,500.00</td>
</tr>
<tr>
<td>TAC appeal application fees for Principal Firms and Related Companies registered for less than 12 months and non-registered firms, to be £10,000: whether or not paid by the claimant in the Technical Arbitration as an application fee. This fee is recoverable if so ordered in the award, at the TAC’s discretion, but not recoverable from the ICA.</td>
<td>£10,000</td>
</tr>
</tbody>
</table>
Other appeal fees
A deposit of £10,000 shall be payable following a submission of an application for an appeal.
The chairman of the appeal committee shall decide the hourly rate to be charged by the appeal committee members, up to a maximum of £150.00.
Fractions of an hour after the first hour shall be charged pro rata.
A minimum fee of £100.00 shall be payable.
An additional fee of £250.00 per arbitration will be payable to the Chairman.
The Association will charge as its fees 25% of the technical appeal committee’s total fees.
The only expenses an arbitrator shall be entitled to claim are courier fees, up to a maximum of £50.00 unless substantiated with a receipt.

STAMPING AND NOTARISATION OF TECHNICAL AWARDS

<table>
<thead>
<tr>
<th>Stamping charges</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Principal Firms and Related Companies</td>
<td>£400.00</td>
</tr>
<tr>
<td>Association Member Firms</td>
<td>£600.00</td>
</tr>
<tr>
<td>Non-registered firms</td>
<td>£800.00</td>
</tr>
<tr>
<td>Technical appeal awards</td>
<td>No charge</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Notarisation and legalisation of Awards</th>
</tr>
</thead>
<tbody>
<tr>
<td>All firms</td>
</tr>
</tbody>
</table>

Fees and charges for Small Claims Technical Arbitrations and Appeals

SMALL CLAIMS TECHNICAL ARBITRATIONS

<table>
<thead>
<tr>
<th>Application fees</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Principal Firms and Related Companies registered for at least 12 months</td>
<td>No fee</td>
</tr>
<tr>
<td>Principal Firms and Related Companies registered for at least 12 months but not registered on the date of the contract</td>
<td>£250.00</td>
</tr>
<tr>
<td>Non-registered firms</td>
<td>£1,000.00</td>
</tr>
<tr>
<td>Association Member Firms</td>
<td>£250.00</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Other arbitration fees</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>A deposit of £750 shall be payable upon submission of an application for arbitration.</td>
<td></td>
</tr>
<tr>
<td>An hourly rate shall be charged by the Sole Arbitrator, up to a maximum of £150.00.</td>
<td></td>
</tr>
<tr>
<td>Fractions of an hour after the first hour shall be charged pro rata.</td>
<td></td>
</tr>
<tr>
<td>A minimum fee of £100.00 shall be payable.</td>
<td></td>
</tr>
<tr>
<td>The only expenses an arbitrator will be entitled to claim are courier fees, up to a maximum of £50.00 unless substantiated with a receipt.</td>
<td></td>
</tr>
</tbody>
</table>

SMALL CLAIMS TECHNICAL APPEALS

<table>
<thead>
<tr>
<th>Application fees</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Principal Firms and Related Companies registered for at least 12 months</td>
<td>No fee</td>
</tr>
<tr>
<td>Principal Firms and Related Companies registered for at least 12 months but not registered on the date of the contract</td>
<td>£250.00</td>
</tr>
<tr>
<td>Small Claims Technical Appeal application fees for non-registered firms to be £1,000 if not paid in Small Claims Technical Arbitration as an application fee.</td>
<td>£1,000.00</td>
</tr>
<tr>
<td>Association Member Firms</td>
<td>£250.00</td>
</tr>
</tbody>
</table>
Other appeal fees

A deposit of £750 shall be payable upon submission of an application for a Small Claims appeal.

The chairman of the appeal committee shall decide the hourly rate to be charged by the appeal committee members, up to a maximum of £150.00.

Fractions of an hour after the first hour shall be charged pro rata.

A minimum fee of £100.00 shall be payable.

The Association will charge as its fees 25% of the Small Claims appeal committee's total fees.

The only expenses an arbitrator will be entitled to claim are courier fees, up to a maximum of £50.00 unless substantiated with a receipt.

<table>
<thead>
<tr>
<th>Stamping charges</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Principal Firms and Related Companies</td>
<td>£400.00</td>
</tr>
<tr>
<td>Association Member Firms</td>
<td>£600.00</td>
</tr>
<tr>
<td>Non-registered firms</td>
<td>£800.00</td>
</tr>
<tr>
<td>Small Claims appeal awards</td>
<td>No charge</td>
</tr>
</tbody>
</table>

Notarisation and legalisation of Awards

All firms  £350.00

Fees and charges for Quality Arbitrations and Appeals

QUALITY ARBITRATION

<table>
<thead>
<tr>
<th>Application fees</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Registered Firms</td>
<td>No fee</td>
</tr>
<tr>
<td>Non-registered Firms</td>
<td>No fee</td>
</tr>
</tbody>
</table>

Quality arbitration, appeal and classification

The lowest amount the arbitrators or appeal committee will charge for very bale represented by the samples provided is given below. They may charge more. If the samples provided represent less than 50 bales, they will charge for 50 bales.

<table>
<thead>
<tr>
<th>Quality Arbitration</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Registered Firms</td>
<td>£0.35</td>
</tr>
<tr>
<td>Non-registered Firms</td>
<td>£1.00</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Quality Appeal</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Registered Firms</td>
<td>£0.65</td>
</tr>
<tr>
<td>Non-registered Firms</td>
<td>£1.95</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Classification</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>For grade, colour and staple</td>
<td>£1.00</td>
</tr>
<tr>
<td>For grade and colour only</td>
<td>£0.65</td>
</tr>
<tr>
<td>For staple only</td>
<td>£0.65</td>
</tr>
</tbody>
</table>
## Stamping Charges

The amount we will charge both firms for every bale represented by the samples provided is given below. If the samples provided represent less than 50 bales, we will charge for 50 bales.

<table>
<thead>
<tr>
<th>Category</th>
<th>Charge</th>
</tr>
</thead>
<tbody>
<tr>
<td>Principal Firms and Related Companies</td>
<td>£0.03</td>
</tr>
<tr>
<td>Association Member Firms</td>
<td>£0.12</td>
</tr>
<tr>
<td>Non-registered firms</td>
<td>£0.24</td>
</tr>
</tbody>
</table>

## Notarisation and Legalisation of Awards

<table>
<thead>
<tr>
<th>Category</th>
<th>Charge</th>
</tr>
</thead>
<tbody>
<tr>
<td>All firms</td>
<td>£350.00</td>
</tr>
</tbody>
</table>